

Multi-winner voting rules

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Voting rules in general

Voters, candidates (= options to choose from).

Input = ballots, typically:

- **Rankings** (complete or not, with ties or not),
- **Grades**,
- **Approvals**.

Output:

- **One candidate** (single-winner rules),
- **Several candidates** (multi-winner rules):
 - Committee of fixed size k (**this talk**),
 - Committee of variable size,
- **Ranking over the candidates** (social welfare functions).

Multi-winner voting rules: old and new problems

Problems that already exist in single-winner rules:

- **Condorcet paradox,**
- **Arrow theorem,**
- **Gibbard-Satterthwaite theorem.**

These problems still exist for multi-winner rules.

But we also have new problems:

- What **objective** do we pursue?
- **Computational complexity** of computing the winners.

Preliminary example

Candidates: $A_1, A_2, A_3, A_4, B_1, B_2, C_1, C_2$.

| | | | | |
|-----------|----------------------|------------|-------|-------|
| Voters | 73 | 23 | 2 | 2 |
| Approvals | A_1, A_2, A_3, A_4 | B_1, B_2 | C_1 | D_1 |

Say we want to elect $k = 4$ candidates.
Who should win?

Preliminary example

Candidates: $A_1, A_2, A_3, A_4, B_1, B_2, C_1, C_2$.

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Who should win?

| Objective | Example of scenario | Winners |
|------------------|------------------------------|--------------------------|
| Excellence | Recruit $k = 4$ taxi drivers | $\{A_1, A_2, A_3, A_4\}$ |

Preliminary example

Candidates: $A_1, A_2, A_3, A_4, B_1, B_2, C_1, C_2$.

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| Objective | Example of scenario | Winners |
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| Excellence | Recruit $k = 4$ taxi drivers | $\{A_1, A_2, A_3, A_4\}$ |
| Proportionality | Elect a parliament of $k = 4$ members | $\{A_1, A_2, A_3, B_1\}$ |

Preliminary example

Candidates: $A_1, A_2, A_3, A_4, B_1, B_2, C_1, C_2$.

| | | | | |
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| Excellence | Recruit $k = 4$ taxi drivers | $\{A_1, A_2, A_3, A_4\}$ |
| Proportionality | Elect a parliament of $k = 4$ members | $\{A_1, A_2, A_3, B_1\}$ |
| Diversity | Choose locations for $k = 4$ defibrillators | $\{A_1, B_1, C_1, D_1\}$ |

Plan

Zoology of rules

- Best-k rules

- Committee scoring rules

- Other rules

Discussion

- A word on computational complexity

- Which rule for which objective?

Conclusion

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Zoology of rules

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- Other rules

Discussion

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- Which rule for which objective?

Conclusion

Our running example

| | | | | | | |
|-----------|------------|----|------------|------------|------------|----|
| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
| Rankings | A | C | C | D | E | E |
| | B | D | E | C | A | B |
| | C | E | D | B | B | C |
| | D | B | A | E | D | A |
| | E | A | B | A | C | D |
| Approvals | A, B, C, D | C | A, D, C, E | B, C, D, E | A, B, D, E | E |

We want to elect a committee of size $k = 2$.

Plan

Zoology of rules

- Best-k rules

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Best-k Rules

Recipe

Take a single-winner voting rule that produces scores (or a ranking over the candidates).

Output the k candidates with the best scores.

Single Non-Transferable Voting (SNTV)

Principle: best k candidates by Plurality.

| | | | | | | |
|----------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
| Rankings | A B C D E | C D E B A | C E D A B | D C B E A | E A B D C | E B C A D |

Example: $\text{score}(A) = 1 \times 27 = 27$.

| | | | | | |
|-----------|-----------|---|----|----|-----------|
| Candidate | A | B | C | D | E |
| Score | 27 | 0 | 17 | 22 | 34 |

Winning committee: $S = \{A, E\}$.

Bloc voting

Principle: best k candidates by k -approval (reminder: we consider $k = 2$).

| | | | | | | |
|----------|----------|----------|----------|----------|----------|----------|
| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
| Rankings | A | C | C | D | E | E |
| | B | D | E | C | A | B |
| | C | E | D | B | B | C |
| | D | B | A | E | D | A |
| | E | A | B | A | C | D |

Example: $\text{score}(A) = 1 \times 27 + 1 \times 21 = 48$.

| | | | | | |
|-----------|-----------|-----------|----|----|----|
| Candidate | A | B | C | D | E |
| Score | 48 | 40 | 39 | 34 | 39 |

Winning committee: $S = \{A, B\}$.

best-k Borda

Principle: best k candidates by Borda rule.

| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
|----------|----|----|---|----|----|----|
| Rankings | A | C | C | D | E | E |
| | B | D | E | C | A | B |
| | C | E | D | B | B | C |
| | D | B | A | E | D | A |
| | E | A | B | A | C | D |

Example: $\text{score}(A) = 4 \times 27 + 0 \times 12 + 1 \times 5 + 0 \times 22 + 3 \times 21 + 1 \times 13 = 189$.

| Candidate | A | B | C | D | E |
|-----------|-----|------------|------------|-----|-----|
| Score | 189 | 218 | 214 | 182 | 197 |

Winning committee: $S = \{B, C\}$.

best-k Approval Voting

Principle: best k candidates by Approval Voting.

| | | | | | | |
|-----------|------------|----|------------|------------|------------|----|
| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
| Approvals | A, B, C, D | C | A, C, D, E | B, C, D, E | A, B, D, E | E |

Example: $\text{score}(A) = 1 \times 27 + 1 \times 5 + 1 \times 21 = 53$.

| | | | | | |
|-----------|----|-----------|----|-----------|----|
| Candidate | A | B | C | D | E |
| Score | 53 | 70 | 66 | 75 | 61 |

Winning committee: $S = \{B, D\}$.

Plan

Zoology of rules

Best-k rules

Committee scoring rules

Other rules

Discussion

A word on computational complexity

Which rule for which objective?

Conclusion

Committee scoring rules

Recipe

Find a way to make each voter v assign a score to each possible committee S :
 $\text{score}_v(S)$.

Output the committee with the best score.

N.B.: all the best- k rules seen before belong to this family. We have in this case:

$$\text{score}_v(S) = \sum_{c \in S} \text{score}_v(c).$$

Example on next slide...

best-k Borda, seen as a committee scoring rule

- Reminders:
- the winning committee was $S = \{B, C\}$,
 - $\text{score}(S = \{B, C\}) = \text{score}(B) + \text{score}(C) = 432$.

Let us compute $\text{score}(S = \{B, C\})$ another way:

| | | | | | | |
|-------------------------------|----------|----------|----------|----------|----------|----------|
| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
| Rankings | A | C | C | D | E | E |
| | B | D | E | C | A | B |
| | C | E | D | B | B | C |
| | D | B | A | E | D | A |
| | E | A | B | A | C | D |
| $\text{score}_v(S)$ | 5 | 5 | 4 | 5 | 2 | 5 |
| $ v \cdot \text{score}_v(S)$ | 135 | 60 | 20 | 110 | 42 | 65 |

$$\Rightarrow \text{score}(S = \{B, C\}) = 135 + 60 + 20 + 110 + 42 + 65 = 432.$$

Proportional Approval Voting (PAV)

Principle: $\text{score}_v(S) = 1 + 1/2 + \dots + 1/i$,
where i is the number of candidates in the committee S approved by voter v .

Winning committee: $S = \{C, D\}$ (believe me).

For the example, let us compute $\text{score}(S = \{C, D\})$:

| | | | | | | |
|-------------------------------|---------------------------|----------|----------------------------|----------------------------|--------------------|----|
| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
| Approvals | A, B, C , D | C | A, D , C , E | B, C , D , E | A, B, D , E | E |
| $\text{score}_v(S)$ | 1.5 | 1 | 1.5 | 1.5 | 1 | 0 |
| $ v \cdot \text{score}_v(S)$ | 40.5 | 12 | 7.5 | 33 | 21 | 0 |

$\Rightarrow \text{score}(S = \{C, D\}) = 40.5 + 12 + 7.5 + 33 + 21 + 0 = 114$.

Borda Chamberlin-Courant (a.k.a. just “Chamberlin-Courant”)

Principle: $\text{score}_v(S) = \text{Borda}_v(c)$,

where c is the candidate that voter v likes best in the committee S .

Winning committee: $S = \{A, C\}$ (believe me).

For the example, let us compute $\text{score}(S = \{A, C\})$:

| | | | | | | |
|-------------------------------|----------|----------|----------|----------|----------|----------|
| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
| Rankings | A | C | C | D | E | E |
| | B | D | E | C | A | B |
| | C | E | D | B | B | C |
| | D | B | A | E | D | A |
| | E | A | B | A | C | D |
| $\text{score}_v(S)$ | 4 | 4 | 4 | 3 | 3 | 2 |
| $ v \cdot \text{score}_v(S)$ | 108 | 48 | 20 | 66 | 63 | 26 |

$$\Rightarrow \text{score}(S = \{A, C\}) = 108 + 48 + 20 + 66 + 63 + 26 = 331.$$

Approval Chamberlin-Courant (a.k.a. Approval-CC)

Principle: $\text{score}_v(S) = \text{Approval}_v(c)$,
where c is the candidate that voter v likes best in the committee S .

Winning committee: $S = \{C, E\}$ (believe me).

For the example, let us compute $\text{score}(S = \{C, E\})$:

| | | | | | | |
|-------------------------------|--------------------|----------|---------------------------|---------------------------|-------------------|----------|
| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
| Approvals | A, B, C , D | C | A, C , D, E | B, C , D, E | A, B, D, E | E |
| $\text{score}_v(S)$ | 1 | 1 | 1 | 1 | 1 | 1 |
| $ v \cdot \text{score}_v(S)$ | 27 | 12 | 5 | 22 | 21 | 13 |

$$\Rightarrow \text{score}(S = \{C, E\}) = 27 + 12 + 5 + 22 + 21 + 13 = 100.$$

Committee scoring rules: theory

$\text{score}_v(c) = ?$

- Plurality (SNTV),
- k-approval (Bloc),
- Borda (k-Borda, Borda-CC),
- Approval (best-k Approval, PAV, Approval-CC).

$\text{score}_v(S) = ?$

- $\sum_{c \in S} \text{score}_v(c)$ (best-k rules),
- $\sum_i \alpha_i \cdot \text{score}_v(c_i)$, where c_i is the i -th preferred candidate of v in S (PAV).
- $\max_{c \in S} \text{score}_v(c)$ (Chamberlin-Courant).

N.B.: all are particular cases of the second one, called **order-weighted average**.

$\text{score}(S) = \sum_v \text{score}_v(S)$ (but we could choose otherwise).

Committee scoring rules: sum-up table

| $score_V(c) =$ | $\sum_{c \in S} score_V(c)$ | $score_V(S) = \sum_i \alpha_i \cdot score_V(c_i)$ | $\max_{c \in S} score_V(c)$ |
|----------------|-----------------------------|---|-----------------------------|
| Plurality | SNTV | | |
| k-approval | Bloc | | |
| Borda | best-k Borda | | Borda-CC |
| Approval | best-k Approval | PAV | Approval-CC |

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- Committee scoring rules

- Other rules

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- Which rule for which objective?

Conclusion

Other rules

Not all multi-winner voting rules are committee scoring rules!

Iterated single-winner rules

- Elect one candidate by the single-winner rule.
- Remove her from the ballots and iterate.

Example: with Plurality.

| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
|----------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|------------------------------|
| Rankings | A B C D E | C D E B A | C E D A B | D C B E A | E A B D C | E B C A D |

⇒ Winners = { , }.

Iterated single-winner rules

- Elect one candidate by the single-winner rule.
- Remove her from the ballots and iterate.

Example: with Plurality.

| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
|----------|-------------------------|-----------------------------|-----------------------------|-----------------------------|-------------------------|-------------------------|
| Rankings | A B C D | C D B A | C D A B | D C B A | A B D C | B C A D |

⇒ Winners = { , E }.

Iterated single-winner rules

- Elect one candidate by the single-winner rule.
- Remove her from the ballots and iterate.

Example: with Plurality.

| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
|----------|-------------------------|-----------------------------|-----------------------------|-----------------------------|-------------------------|-------------------------|
| Rankings | A B C D | C D B A | C D A B | D C B A | A B D C | B C A D |

⇒ Winners = {A, E}.

Single Transferable Vote (STV)

- $Quota_k = \frac{V}{k+1}$. Ex: $Quota_1 = 50$, $Quota_2 = 33.3$, $Quota_3 = 25...$
- Elect all candidates with more than $Quota_k$ top-votes and remove $Quota_k$ voters who vote for each of them (see below). Iterate.
- If no candidate has the quota, eliminate the candidate with least top-votes.

| Voters | 27 | 12 | 5 | 22 | 21 | 13 |
|----------|----------|----------|----------|----------|----------|----------|
| | A | C | C | D | E | E |
| Rankings | B | D | E | C | A | B |
| | C | E | D | B | B | C |
| | D | B | A | E | D | A |
| | E | A | B | A | C | D |

⇒ Winners = { , }.

Single Transferable Vote (STV)

- $Quota_k = \frac{V}{k+1}$. Ex: $Quota_1 = 50$, $Quota_2 = 33.3$, $Quota_3 = 25...$
- Elect all candidates with more than $Quota_k$ top-votes and remove $Quota_k$ voters who vote for each of them (see below). Iterate.
- If no candidate has the quota, eliminate the candidate with least top-votes.

| Voters | 27 | 12 | 5 | 22 | 0.41 | 0.25 |
|----------|----------|----------|----------|----------|----------|----------|
| | A | C | C | D | | |
| | B | D | | C | A | B |
| Rankings | C | | D | B | B | C |
| | D | B | A | | D | A |
| | | A | B | A | C | D |

⇒ Winners = { , E }.

Single Transferable Vote (STV)

- $Quota_k = \frac{V}{k+1}$. Ex: $Quota_1 = 50$, $Quota_2 = 33.3$, $Quota_3 = 25...$
- Elect all candidates with more than $Quota_k$ top-votes and remove $Quota_k$ voters who vote for each of them (see below). Iterate.
- If no candidate has the quota, eliminate the candidate with least top-votes.

| Voters | 27 | 12 | 5 | 22 | 0.41 | 0.25 |
|----------|--------------------|--------------------|--------------------|--------------------|--------------------|--------------------|
| Rankings | A B D | D B A | D A B | D B A | A B D | B A D |

⇒ Winners = { , E }.

Single Transferable Vote (STV)

- $Quota_k = \frac{V}{k+1}$. Ex: $Quota_1 = 50$, $Quota_2 = 33.3$, $Quota_3 = 25...$
- Elect all candidates with more than $Quota_k$ top-votes and remove $Quota_k$ voters who vote for each of them (see below). Iterate.
- If no candidate has the quota, eliminate the candidate with least top-votes.

| Voters | 27 | 12 | 5 | 22 | 0.41 | 0.25 |
|----------|--------------------|------------------------|--------------------|--------------------|--------------------|------------------------|
| Rankings | A B D | D B A | D A B | D B A | A B D | B A D |

⇒ Winners = {D, E}.

Condorcet rules

Principle: if there exists S of size k such that any candidate in S beats any candidate out of S , then S must be selected.

Weighted majority matrix of our example:

| | A | B | C | D | E |
|---|-----------|-----------|-----------|-----------|-----------|
| A | | 53 | 48 | 61 | 27 |
| B | 47 | | 61 | 61 | 49 |
| C | 52 | 39 | | 57 | 66 |
| D | 39 | 39 | 43 | | 61 |
| E | 73 | 51 | 34 | 39 | |

Here there is no such set S , because $A >_{\text{Maj}} B >_{\text{Maj}} C >_{\text{Maj}} D >_{\text{Maj}} E >_{\text{Maj}} A$.

The winning committee will depend on the particular Condorcet rule we use (beyond the scope of this talk).

Borda Monroe (a.k.a. just “Monroe”)

Variant of Chamberlin-Courant ensuring that not too many voters are “represented” by the same candidate.
Beyond the scope of this talk.

Plan

Zoology of rules

- Best-k rules

- Committee scoring rules

- Other rules

Discussion

- A word on computational complexity

- Which rule for which objective?

Conclusion

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A word on computational complexity

Not computable in polynomial time:

- PAV,
- Monroe (in general),
- Chamberlin-Courant (in general).

Sequential variant: start from $S = \emptyset$ and add candidates one by one greedily.

Reverse sequential variant: start from $S = \{\text{all the candidates}\}$ and remove candidates one by one greedily.

Other approaches: fixed-parameter tractability (FPT), heuristics.

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Conclusion

New running example

| Voters | 66 | 12 | 11 | 10 | 1 |
|-----------|-----------|-----------|-----------|-----------|-----------|
| Rankings | A_1 | B_1 | B_2 | B_3 | C_1 |
| | A_2 | B_2 | B_1 | B_2 | C_2 |
| | A_3 | B_3 | B_3 | B_1 | C_3 |
| | \vdots | \vdots | \vdots | \vdots | \vdots |
| | B_1 | A_1 | A_1 | A_1 | A_1 |
| | \vdots | \vdots | \vdots | \vdots | \vdots |
| | C_1 | C_1 | C_1 | C_1 | B_1 |
| | \vdots | \vdots | \vdots | \vdots | \vdots |
| Approvals | All A_i | All B_i | All B_i | All B_i | All C_i |

Assumption: we want to elect $k = 3$ candidates.

Excellence

Intuition: select the “best” candidates based on some criterion.

⇒ An **individual** notion about each elected candidate (rather than a notion about the elected committee as a whole).

Examples:

| Criterion | Voting rule |
|---|-----------------|
| Number of approvals | best-k Approval |
| Borda score | best-k Borda |
| Being preferred by a majority of voters | Condorcet rules |

Excellence: k-best Approval

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

Winners = any three A_i 's (depending on the tie-breaking rule).

Rationale: each A_i is “better” than any non-A candidate, because more approved.

Excellence: Condorcet Rules

| Voters | 66 | 12 | 11 | 10 | 1 |
|-----------|--------------------|--------------------|--------------------|--------------------|--------------------|
| | A ₁ | B ₁ | B ₂ | B ₃ | C ₁ |
| | A ₂ | B ₂ | B ₁ | B ₂ | C ₂ |
| | A ₃ | B ₃ | B ₃ | B ₁ | C ₃ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| Rankings | B ₁ | A ₁ | A ₁ | A ₁ | A ₁ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| | C ₁ | C ₁ | C ₁ | C ₁ | B ₁ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| Approvals | All A _i | All B _i | All B _i | All B _i | All C _i |

Winners = {A₁, A₂, A₃}.

Rationale: each of them is “better” than (= preferred by a majority to) any non-elected candidate.

Excellence: Concluding Remark

- The two rules in previous slides give (approximately) the same outcome.
- But for some other rules that can be defended as promoting “excellence”, the outcome could be different: for example, k-best Plurality would elect $\{A_1, B_1, B_2\}$.
- Excellence is **not** a formally defined notion.

Proportionality

Intuition: more numerous voters should be “represented” by more candidates.

If voters and candidates can be partitioned into several (political) parties, such that all voters of a party prefers all candidates of their party to all other candidates, **then** each party should have a number of seats proportional to the number of voters in her party (up to roundings).

⇒ Proportionality **is** a formally defined notion that says what should be the outcome in some particular profiles (but not all of them).

Proportionality: Proportional Approval Voting (PAV)

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

$$\Delta_S(A_i) = 66$$

$$\Delta_S(B_i) = 33$$

$$\Delta_S(C_i) = 1$$

Winners = { , , }.

Proportionality: Proportional Approval Voting (PAV)

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

$$\Delta_S(A_i) = 66$$

$$\Delta_S(B_i) = 33$$

$$\Delta_S(C_i) = 1$$

\Rightarrow Elect A_1 (for example).

Winners = $\{A_1, \dots\}$.

Proportionality: Proportional Approval Voting (PAV)

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

$\Delta_S(A_i) = 66/2 = 33$
 $\Delta_S(B_i) = 33$
 $\Delta_S(C_i) = 1$

} Here is the trick that makes PAV proportional:
Adding a **second** A_i or a **first** B_i gives as many points.

Winners = $\{A_1, \dots\}$.

Proportionality: Proportional Approval Voting (PAV)

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

$$\left. \begin{aligned} \Delta_S(A_i) &= 66/2 = 33 \\ \Delta_S(B_i) &= 33 \\ \Delta_S(C_i) &= 1 \end{aligned} \right\}$$

Here is the trick that makes PAV proportional:
Adding a **second** A_i or a **first** B_i gives as many points.

\Rightarrow Elect A_2 (for example).

Winners = $\{A_1, A_2, \quad\}$.

Proportionality: Proportional Approval Voting (PAV)

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

$$\Delta_S(A_i) = 66/3 = 22$$

$$\Delta_S(B_i) = 33$$

$$\Delta_S(C_i) = 1$$

Winners = $\{A_1, A_2, \dots\}$.

Proportionality: Proportional Approval Voting (PAV)

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

$$\Delta_S(A_i) = 66/3 = 22$$

$$\Delta_S(B_i) = 33$$

$$\Delta_S(C_i) = 1$$

\Rightarrow Elect B_1 (for example).

Winners = $\{A_1, A_2, B_1\}$.

Proportionality: Proportional Approval Voting (PAV)

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

$$\Delta_S(A_i) = 66/3 = 22$$

$$\Delta_S(B_i) = 33$$

$$\Delta_S(C_i) = 1$$

\Rightarrow Elect B_1 (for example).

Winners = $\{A_1, A_2, B_1\}$.

For $k = 6$, we would have 4 A_i 's and 2 B_i 's because:

$$\Delta_S(\text{fourth } A_i) = 66/4 = \Delta_S(\text{second } B_i) = 33/2.$$

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 66 | 12 | 11 | 10 | 1 |
|----------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Rankings | A₁ | B₁ | B₂ | B₃ | C₁ |
| | A ₂ | B ₂ | B ₁ | B ₂ | C ₂ |
| | A ₃ | B ₃ | B ₃ | B ₁ | C ₃ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| | B ₁ | A ₁ | A ₁ | A ₁ | A ₁ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| | C ₁ | C ₁ | C ₁ | C ₁ | B ₁ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |

Winners = { , , }.

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 41 | 12 | 11 | 10 | 1 |
|----------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Rankings | A₂ | B₁ | B₂ | B₃ | C₁ |
| | A ₃ | B ₂ | B ₁ | B ₂ | C ₂ |
| | ⋮ | B ₃ | B ₃ | B ₁ | C ₃ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| | B ₁ | A ₂ | A ₂ | A ₂ | A ₂ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| | C ₁ | C ₁ | C ₁ | C ₁ | B ₁ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |

Winners = {A₁, , }.

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 16 | 12 | 11 | 10 | 1 |
|----------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Rankings | | B₁ | B₂ | B₃ | C₁ |
| | | B ₂ | B ₁ | B ₂ | C ₂ |
| | A₃ | B ₃ | B ₃ | B ₁ | C ₃ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| | B ₁ | A ₃ | A ₃ | A ₃ | A ₃ |
| | ⋮ | ⋮ | ⋮ | ⋮ | ⋮ |
| | C ₁ | C ₁ | C ₁ | C ₁ | B ₁ |
| ⋮ | ⋮ | ⋮ | ⋮ | ⋮ | |

Winners = {A₁, A₂, }.

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 16 | 12 | 11 | 10 | 1 |
|----------|----------------------|----------------------|----------------------|----------------------|----------------------|
| Rankings | | B₁ | B₂ | B₃ | C₁ |
| | | B ₂ | B ₁ | B ₂ | |
| | A₃ | B ₃ | B ₃ | B ₁ | |
| | B ₁ | A ₃ | A ₃ | A ₃ | A ₃ |
| | ⋮ | | | | |
| | C ₁ | C ₁ | C ₁ | C ₁ | B ₁ |
| | | | | | ⋮ |

Winners = {A₁, A₂, }.

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 16 | 12 | 11 | 10 | 1 |
|----------|----------------------|--|--|--|----------------------|
| Rankings | A₃ | B₁ B ₂ B ₃ | B₂ B ₁ B ₃ | B₃ B ₂ B ₁ | A₃ |
| | B ₁ ⋮ | A ₃ | A ₃ | A ₃ | B ₁ ⋮ |

Winners = {A₁, A₂, }.

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 16 | 12 | 11 | 10 | 1 |
|----------|---|---|---|---|---|
| Rankings | <p>A₃</p> <p>B₁</p> <p>⋮</p> | <p>B₁</p> <p>B₂</p> <p>A₃</p> | <p>B₂</p> <p>B₁</p> <p>A₃</p> | <p>B₂</p> <p>B₁</p> <p>A₃</p> | <p>A₃</p> <p>B₁</p> <p>⋮</p> |

Winners = {A₁, A₂, }.

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 16 | 12 | 11 | 10 | 1 |
|----------|--|----------------------------------|--|--|--|
| Rankings | A₃ B ₂ | B ₂ A ₃ | B₂ A ₃ | B₂ A ₃ | A₃ B ₂ |

Winners = {A₁, A₂, }.

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 16 | 12 | 11 | 10 | 1 |
|----------|--|----------------------------------|--|--|--|
| Rankings | A₃ B ₂ | B ₂ A ₃ | B₂ A ₃ | B₂ A ₃ | A₃ B ₂ |

Winners = $\{A_1, A_2, B_2\}$.

Proportionality: Single Transferable Vote (STV)

$$k = 3 \Rightarrow \text{Quota}_k = \frac{100}{3+1} = 25.$$

| Voters | 16 | 12 | 11 | 10 | 1 |
|----------|--|----------------------------------|--|--|--|
| Rankings | A₃ B ₂ | B ₂ A ₃ | B₂ A ₃ | B₂ A ₃ | A₃ B ₂ |

Winners = $\{A_1, A_2, B_2\}$.

For $k = 6$, we would have $\{A_1, A_2, A_3, A_4, B_2, B_1\}$.

Diversity

Intuition: as many voters as possible should be well “represented” by at least one candidate.

This is **not** a formally defined notion.

Diversity: Approval Chamberlin-Courant (Approval-CC)

| | | | |
|-----------|-----------|-----------|-----------|
| Voters | 66 | 33 | 1 |
| Approvals | All A_i | All B_i | All C_i |

Winners = {any A_i , any B_i , any C_i }.

Two possible rationales:

- Once A-voters have one candidate A_i in the outcome, they are as happy as they can be.
- **Or** they could be more happy, but it is more important to represent as many voters as possible, including the only C-voter.

Diversity: Concluding Remark

Classic example to justify diversity: choosing movies for the catalogue of a short plane travel, because each passenger will watch only one movie. But...

Assume the following poll result for a sample of potential passengers:

| | | | | | | |
|-----------|---------|---------|---------|---------|---------|---------|
| Voters | 54.4% | 27.2% | 18.1% | 0.1% | 0.1% | 0.1% |
| Approvals | Genre A | Genre B | Genre C | Genre D | Genre E | Genre F |

For $k = 6$, do you really want:

- One movie of each genre?
- Or give at least two possible choices for the people who like genre A?

⇒ Diversity is a very extreme point of view, giving a big power to arbitrary small minorities.

Summary: Which rule for which objective?

Arguably:

- **Excellence** (select “good” candidates):
Best-k rules, iterated single-winner rules, Condorcet rules.
- **Proportionality** (more voters should be represented by more candidates):
PAV, STV, Monroe.
- **Diversity** (as many voters as possible should be represented):
Borda-CC, Approval-CC.

In fact, since excellence and diversity are not formally defined, there are no clear frontiers between these three objectives...

Plan

Zoology of rules

- Best-k rules

- Committee scoring rules

- Other rules

Discussion

- A word on computational complexity

- Which rule for which objective?

Conclusion

Take-aways

- Multi-winner rules differ on their objective: **excellence**, **proportionality** or **diversity**.
- A large class of rules is given by the **committee scoring rules**.
- Some interesting rules are **computationally hard to compute**.

Bibliography: P. Faliszewski, P. Skowron, A. Slinko, and N. Talmon. Multiwinner voting: A new challenge for social choice theory. In U. Endriss, editor, Trends in Computational Social Choice. AI Access, 2017.

Thanks For Your Attention!



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