

# STAKECUBE

Combining Sharding and Proof-of-Stake to build Fork-free Secure Permissionless Distributed Ledgers



## Technologie Blockchain (axes 1, 3 et 6) :

- **Modélisation et automatisation de processus métiers**
- **Algorithmes de consensus pour l'IoT (Thèse #2: SystemX / TPT / IMT / Atos)**
- **Evolutivité et volumétrie des Blockchains**



## Blockchain de consortium (axe 4) :

- **Modèles et règles de gouvernance**
- **Modèles économiques et d'incitations**
- **Gestion décentralisée des identités et certification**



## Données et sécurité (axes 2 et 5) :

- **Confidentialité et anonymisation des données (transactions)**
- **Analyse, visualisation et valorisation des données (Thèse #4: SystemX / INRIA)**
- **Calcul multipartite sécurisé (Thèse #3: SystemX / INRIA)**



## Régulation (axe 4) :

- **Blockchain vs. GDPR (règlement général sur la protection des données)**
- **Blockchain vs. relations contractuelles de droit privé (Thèse #1: SystemX / INRIA / UVSQ)**



- **Achievements**
  - Model
  - Properties
- **A set of ingredients**
  - Tools and protocols
  - PeerCube
- **Protocol description**
  - Overview
  - Credential System
  - Shard composition update
  - Producing the next block
- **Future work**

- **Sharded Ledger**
  - Motivated by uses case where efficiency concerns are a priority
  - Per-block agreement approach in the UTXO model
- **Stake-bounded Weakly dynamic adversary**
  - Corruption threshold and synchrony hypothesis are offloaded to building blocks
  - Corruptions are moving but subject to a delay
- **Probabilistic guarantees**
  - All properties holds with probability  $1 - \text{negl}(\kappa)$

## Properties (informal)

■ **Safety**

- If honest users  $i$  and  $j$  accept respectively block  $B_h^i$  and  $B_h^j$  at height  $h$ , then  $B_h^i = B_h^j$

■ **Liveness**

- Every submitted transaction is eventually confirmed by all honest users

## Properties (informal)

■ **Scalability**

- Overall communication cost is  $O(nc_1+c_2)$
- $c_1$  and  $c_2$  are polynomial in the security parameter  $\kappa$

■ **Efficiency**

- Each block takes a constant number of rounds
- Transaction confirmation takes one block

- **Cryptographic primitives**
  - Standard cryptographic tools
  - Verifiable Random Function (VRF)
- **Vector consensus**
- **Random Beacon**
- **Verifiable Byzantine Agreement**

- **Sharded Distributed Hash Table**
  - Require random, globally verifiable and short-lived identifiers
  - Creates shards based on the XOR distance function
  - Each shard is randomly split into two sets
    - The core set runs all procedures and has a fixed size
    - The spare set only follows the core set
  - Statistical security against byzantine adversaries
    - Probability of corrupting a shard negligible in the core set size
    - Actually tolerates up to  $\mathcal{F}$  corrupted shards

[1] Anceaume, E., Ludinard, R., Ravoaja, A., & Brasileiro, F. Peercube: A hypercube-based p2p overlay robust against collusion and churn. SASO 2018, IEEE.



- **Each block has an associated random seed**
  - Bootstrap the global credential system
  - Credentials are given to PeerCube, which maintains shards
- **All shards update their composition in parallel**
  - Handle join requests and core set election
- **New block and randomness generated by a subset of shards**
  - Leverage low corrupted shard bound  $\mathcal{F}$

## Unpredictable and Perishable credentials

- A random credential  $\sigma_i(h)$  is given to unspent output  $pk_i$  at height  $h$ 
  - The randomness is drawn from the block random seed
- Credentials are renewed every  $T$  blocks
  - The first credential is given  $T$  blocks after transaction inclusion

$$\sigma_i(h) = \text{hash}(pk_i \parallel \text{seed}(B_{h'}))$$
$$h' := h_0 + \left\lceil \frac{h - h_0}{T} \right\rceil T$$

- **Composition of a shard  $\mathcal{S}(h) = (\mathcal{S}_c(h), \mathcal{S}_s(h))$**
- **Join requests are locally stored in a buffer  $b_i$**
- **Upon reception of block  $B_h$** 
  - Expiring credentials are removed
  - Users in  $\mathcal{S}_c(h - 1)$  run a vector agreement with input  $b_i$ 
    - Defines the set of new spares
  - Users in  $\mathcal{S}_c(h - 1)$  run a random beacon
    - Seeds the core election
  - $\mathcal{S}_c(h)$  is sent to all shards

- **A subset of shards runs the verifiable byzantine agreement**
  - The subset size ensure correctness despite  $\mathcal{F}$  corrupted shards
  - Benefits from (leader-based) optimistic protocols
  - The algorithm is adapted to be run by shards instead of nodes
- **Block are proposed by shards**
  - The transactions are obtained from the vector consensus
  - The randomness is derived from the vector consensus with VRF as input

- **Signatures aggregation**
- **Storage sharding**
- **Security/efficiency improvements**
  - Tighter statistical bounds
  - Posterior corruption security
  - Stake-based weights
- **Implementation & benchmarking**

# Thanks for your attention

Any questions?

[www.irt-systemx.fr](http://www.irt-systemx.fr)



- **Statistical security against byzantine adversaries**
  - Malicious users are scattered across all shards
  - Shard assignment to byzantine credentials follows an multivariate hypergeometric law
  - Probability of corrupting a single shard core is bounded by Hoeffding bound
  - Overall corruption probability with the union bound
$$\mathbb{P}[\forall \mathcal{S}, \#byz(\mathcal{S}) > \mu C_{size}] < e^{-(2\varepsilon_{\mu}^2 C_{size} - \ln(N_{shard}))}$$
- **Actually tolerates up to  $\mathcal{F}$  corrupted shards**

- Each UTXO has a bounded amount of stake
- Each output contains a public key
- Clean recovery from corruption
- New users can safely connect to the network



- **Composition of a shard  $\mathcal{S}(h) = (\mathcal{S}_c(h), \mathcal{S}_s(h))$**
- **Join requests are locally stored in a buffer  $b_i$**
- **Upon reception of block  $B_h$** 
  - Users in  $\mathcal{S}_c(h - 1)$  run a vector agreement with input  $b_i$
  - Users in  $\mathcal{S}_c(h - 1)$  run a random beacon
  - $\mathcal{S}(h)$  is computed such that
    - All expiring credentials are removed
    - Joining request are added in the spare set
    - The core set is filled using the beacon randomness
  - $\mathcal{S}_c(h)$  is sent to all shards